

## COMMISSION ON STATE MANDATES

980 NINTH STREET, SUITE 300  
SACRAMENTO, CA 95814  
PHONE: (916) 323-3562  
FAX: (916) 445-0278  
E-mail: csminfo@csm.ca.gov



October 23, 2013

Ms. Jan Grimes, Auditor-Controller  
County of Orange  
12 Civic Center Plaza, Room #200  
Santa Ana, CA 92702

Ms. Mary Hale, Deputy Director  
Orange County Health Care Agency  
405 W. 5<sup>th</sup> Street, 7<sup>th</sup> Floor  
Santa Ana, CA 92701

Ms. Toni Smart  
Financial Reporting/Mandated Costs Unit  
County of Orange  
12 Civic Center Plaza  
Santa Ana, CA 92702

Mr. Mark Refowitz, Director  
Orange County Health Care Agency  
405 W. 5<sup>th</sup> Street, 7<sup>th</sup> Floor  
Santa Ana, CA 92701

Ms. Kimberly Engelby, Accounting Manager  
Orange County Health Care Agency  
405 W. 5<sup>th</sup> Street, 7<sup>th</sup> Floor  
Santa Ana, CA 92701

*And Parties, Interested Parties, and Interested Persons (See Mailing List)*

**Re: Notice of Revised Complete Filings**

Incorrect Reduction Claims

**11-9705-I-02**, *Seriously Emotionally Disturbed (SED) Pupils: Out-of-State Mental Health Services*; and

**12-9705-I-03**, *Handicapped and Disabled Students (04-RL-4282-10); Handicapped and Disabled Students II (02-TC-40/02-TC-49); and Seriously Emotionally Disturbed (SED) Pupils: Out-of-State Mental Health Services (97-TC-05)*

Dear Ms. Grimes, Mr. Refowitz, Ms. Hale, Ms. Engelby and Ms. Smart:

On November 9, 2011, the Commission on State Mandates (Commission) received an incorrect reduction claim (IRC) filing on the *Seriously Emotionally Disturbed (SED) Pupils: Out-of State Mental Health Services* program for fiscal years 2000-2001 through 2005-2006. Commission staff deemed it complete on November 17, 2011 and assigned it the number of 11-9705-I-02.

Likewise, on March 8, 2013, the Commission received an IRC filing on the *Handicapped and Disabled Students; Handicapped and Disabled Students II; and Seriously Emotionally Disturbed (SED) Pupils: Out-of State Mental Health Services* consolidated program for fiscal years 2006-2007 through 2008-2009. Commission staff deemed it complete on March 21, 2013 and assigned it the number of 12-9705-I-03.

On October 1, 2013, after further review, Commission staff determined that the above-named IRCs were *incomplete* and requested the county to complete the filings by specifying the county or the Auditor-Controller Financial Reporting/Mandated Costs Unit on behalf of the county as the claimant in these matters.

On October 21, 2013, the Commission received the requested supplemental filings and has determined that these IRCs are now *complete*. No further action on the completeness of these matters is required by the claimant at this time.

Ms. Grimes, Mr. Refowitz, Ms. Hale, Ms. Engelby, and Ms. Smart

October 23, 2013

Page 2

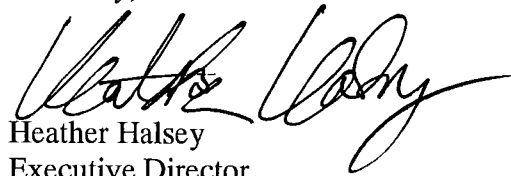
**Informal Conference.** An informal conference will be scheduled if requested.

**Public Hearing and Staff Analysis.** The public hearing on this claim will be scheduled after the record closes. A staff analysis will be issued on the IRC at least eight weeks prior to the public hearing.

**Withdrawal of Incorrect Reduction Claims.** Under section 1185.6(a) of the Commission's regulations, an IRC may be withdrawn by written application any time before a decision is adopted or by oral application at the time of hearing. If such an application is made, the Executive Director shall issue a letter dismissing the claim.

Please call Heidi Palchik at (916) 323-3562 if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Heather Halsey", written in a cursive style.

Heather Halsey  
Executive Director

**DECLARATION OF SERVICE BY EMAIL**

I, the undersigned, declare as follows:

I am a resident of the County of Solano and I am over the age of 18 years, and not a party to the within action. My place of employment is 980 Ninth Street, Suite 300, Sacramento, California 95814.

On October 23, 2013, I served the:

**Supplemental Filings; and Notice of Complete Filings  
Incorrect Reduction Claims**

**11-9705-I-02**, *Seriously Emotionally Disturbed (SED) Pupils: Out-of-State Mental Health Services*; and

**12-9705-I-03**, *Handicapped and Disabled Students (04-RL-4282-10); Handicapped and Disabled Students II (02-TC-40/02-TC-49); and Seriously Emotionally Disturbed (SED) Pupils: Out-of-State Mental Health Services (97-TC-05)*

by making it available on the Commission's website and providing notice of how to locate it to the email addresses provided on the attached mailing list.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that this declaration was executed on October 23, 2013 at Sacramento, California.



---

Heidi J. Palchik  
Commission on State Mandates  
980 Ninth Street, Suite 300  
Sacramento, CA 95814  
(916) 323-3562

## Commission on State Mandates

Original List Date: 3/21/2013  
Last Updated: 10/23/2013  
List Print Date: 10/23/2013  
Claim Number: 12-9705-I-03  
Issue:

Handicapped and Disabled Students; Handicapped and Disabled Students II; and Seriously Emotionally Distrubed (SED) Pupils: Out-of-State Mental Health Services

### Mailing List

#### TO ALL PARTIES AND INTERESTED PARTIES:

Each commission mailing list is continuously updated as requests are received to include or remove any party or person on the mailing list. A current mailing list is provided with commission correspondence, and a copy of the current mailing list is available upon request at any time. Except as provided otherwise by commission rule, when a party or interested party files any written material with the commission concerning a claim, it shall simultaneously serve a copy of the written material on the parties and interested parties to the claim identified on the mailing list provided by the commission. However, this requirement may also be satisfied by electronically filing your documents. Please see <http://www.csm.ca.gov/dropbox.shtml> on the Commission's website for instructions on electronic filing. (Cal. Code Regs., tit. 2, § 1181.2.)

---

Ms. Toni Smart  
County of Orange  
Financial Reporting/Mandated Costs Unit  
12 Civic Center Plaza  
Santa Ana, CA 92702

Tel: (714) 834-7480  
Email: [toni.smart@ac.ocgov.com](mailto:toni.smart@ac.ocgov.com)  
Fax: (714) 834-2569

---

Ms. Gwendolyn Carlos  
State Controllers Office  
Division of Accounting and Reporting  
3301 C Street, Suite 700  
Sacramento, CA 95816

Tel: (916) 324-5919  
Email: [gcarlos@sco.ca.gov](mailto:gcarlos@sco.ca.gov)  
Fax: (916) 323-4807

---

Mr. Jay Lal  
State Controller's Office (B-08)  
Division of Accounting & Reporting  
3301 C Street, Suite 700  
Sacramento, CA 95816

Tel: (916) 324-0256  
Email: [JLal@sco.ca.gov](mailto:JLal@sco.ca.gov)  
Fax: (916) 323-6527

---

Mr. Michael Byrne  
Department of Finance  
915 L Street, 8th Floor  
Sacramento, CA 95814

Tel: (916) 445-3274  
Email: [michael.byrne@dof.ca.gov](mailto:michael.byrne@dof.ca.gov)  
Fax:

---

Ms. Jill Kanemasu  
State Controller's Office (B-08)  
Division of Accounting and Reporting  
3301 C Street, Suite 700  
Sacramento, CA 95816

Tel: (916) 322-9891  
Email: [jkanemasu@sco.ca.gov](mailto:jkanemasu@sco.ca.gov)  
Fax:

---

Ms. Jan Grimes  
County of Orange  
Auditor-Controller  
12 Civic Center Plaza, Room #200

Tel: (714) 834-2456  
Email: [jan.grimes@ac.ocgov.com](mailto:jan.grimes@ac.ocgov.com)  
Fax: (714) 834-2569

P.O. Box 567 Santa Ana, CA 92702	
Mr. Jim Spano State Controller's Office (B-08) Division of Audits 3301 C Street, Suite 700 Sacramento, CA 95816	Tel: (916) 323-5849 Email: jspano@sco.ca.gov Fax: (916) 327-0832
Ms. Kathy Rios State Controllers Office Division of Accounting and Reporting 3301 C Street, Suite 700 Sacramento, CA 95816	Tel: (916) 324-5919 Email: krios@sco.ca.gov Fax: (916) 323-4807
Ms. Kimberly Engelby Orange County Health Care Agency 405 W. 5th Street, 7th Floor Santa Ana, CA 92701	Tel: (714) 834-5264 Email: kengelby@ochca.com Fax: (714) 834-5506
Ms. Mary Hale Orange County Health Care Agency Behavioral Health Services 405 W. 5th Street, 7th Floor Santa Ana, CA 92701	Tel: (714) 834-6032 Email: mhale@ochca.com Fax: (714) 834-5506
Ms. Lacey Baysinger State Controller's Office Division of Accounting and Reporting 3301 C Street, Suite 700 Sacramento, CA 95816	Tel: (916) 324-0254 Email: lbaysinger@sco.ca.gov Fax:
Ms. Susan Geanacou Department of Finance (A-15) 915 L Street, Suite 1280 Sacramento, CA 95814	Tel: (916) 445-3274 Email: susan.geanacou@dof.ca.gov Fax: (916) 449-5252
Mr. Mark Refowitz Orange County Health Care Agency 405 W. 5th St., Suite 721 Santa Ana, CA 92701	Tel: (714) 834-6254 Email: mrefowitz@ochca.com Fax: (714) 834-3660
Ms. Socorro Aquino State Controller's Office Division of Audits 3301 C Street, Suite 700 Sacramento, CA 95816	Tel: (916) 322-7522 Email: SAquino@sco.ca.gov Fax:
Mr. Lee Scott Department of Finance (A-15) 915 L Street, 8th Floor Sacramento, CA 95814	Tel: (916) 445-3274 Email: Lee.Scott@dof.ca.gov Fax:

---

Mr. Dennis Speciale  
State Controller's Office (B-08)  
Division of Accounting and Reporting  
3301 C Street, Suite 700  
Sacramento, CA 95816

Tel: (916) 324-0254  
Email DSpeciale@sco.ca.gov  
Fax:

---

Ms. Marieta Delfin  
State Controller's Office (B-08)  
Division of Accounting and Reporting  
3301 C Street, Suite 700  
Sacramento, CA 95816

Tel: (916) 323-0706  
Email mdelfin@sco.ca.gov  
Fax: (916) 322-4404

---

Mr. Tom Dyer  
Department of Finance (A-15)  
915 L Street  
Sacramento, CA 95814

Tel: (916) 445-3274  
Email tom.dyer@dof.ca.gov  
Fax: